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STANDARD ST.80

RECOMMENDATION CONCERNING BIBLIOGRAPHIC DATA RELATING TO INDUSTRIAL DESIGNS

(Identification and Minimum requirements)

Revision adopted by the SCIT Standards and Documentation Working Group at its fourth session on January 30, 2004

INTRODUCTION

1. This Recommendation is aimed at improving the access to information relating to industrial designs in general and to the bibliographic content of design documents, design certificates and entries in official gazettes in particular.

2. This Recommendation provides for codes whereby the various bibliographic data relating to industrial designs, e.g., as given on the first page of a design document, on a design certificate and in an entry in an official gazette, can be identified without knowledge of the language used and the industrial property laws, conventions or treaties applied.

3. This Recommendation further indicates the bibliographic data which, as a *minimum*, should be printed on the first page of a design document and be published as part of an entry in an official gazette.

DEFINITIONS

4. For the purposes of this Recommendation, the expression:

(a) "industrial designs" includes two-dimensional and three-dimensional features of shape and surface of objects, and thus covers both concepts of "designs" and "models" where a distinction is made between the former and the latter; the term "industrial designs" does not include design patents, for which WIPO Standard ST.9 is applicable;

(b) "design documents" means published documents relating to industrial design registrations or deposits, and published applications therefor;

(c) "design certificate" means the official document which is delivered to a design owner certifying that his or her design has been registered or renewed, i.e., has been entered in the design register of the country or organization in question, or has been renewed (this definition also covers "certificates" or "registry extracts" delivered by the industrial property office, e.g., for the purposes of proceedings in court);

(d) "official gazette" means an official publication containing announcements with respect to industrial designs made in accordance with requirements under national or regional industrial property legislation or international industrial property conventions or treaties;

(e) "entry in an official gazette" means a comprehensive announcement, including bibliographic data, made in an official gazette regarding an industrial design registration or a deposit or an application therefor;

(f) "INID" is the acronym for "Internationally agreed Numbers for the Identification of (bibliographic) Data."

REFERENCES

5. References to the following Standards are of relevance to this Standard:

| WIPO Standard <u>ST.2</u> | Standard Manner for Designating Calendar Dates by Using the Gregorian Calendar; |
|----------------------------|--|
| WIPO Standard <u>ST.3</u> | Recommended Standard on Two-Letter Codes for the Representation of States, Other Entities and Intergovernmental Organizations; |
| WIPO Standard <u>ST.9</u> | Recommendation Concerning Bibliographic Data on and Relating to Patents and SPCs; |
| WIPO Standard <u>ST.81</u> | Recommendation Concerning the Content and Layout of Industrial Design Gazettes. |



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IDENTIFICATION OF BIBLIOGRAPHIC DATA AND MINIMUM REQUIREMENTS

6. The list of definitions of bibliographic data with their corresponding INID codes is given in Appendix 1 to this Recommendation and entitled "List of INID codes." To assist industrial property offices and users of industrial property documentation, Appendix 2 to this Recommendation contains those INID codes, with their definitions and/or notes, which were used at a certain period of time but have either ceased to be available for use or have been amended.

7. The list has been organized into categories (10 to 80) to facilitate grouping of related data. Categories can contain several groupings.

8. The INID codes which are preceded by a single asterisk (*) relate to those data elements which are considered to be the minimum elements which should appear on the first page of a design document and in an entry in an official gazette. (See also paragraph 13 in this respect.)

9. The INID codes which are preceded by a double asterisk (**) relate to those data elements which are considered to be minimum elements in circumstances specified in the accompanying notes.

APPLICATION OF CODES

10. The INID codes should be associated with the corresponding bibliographic data in so far as these data normally appear on the first page of a design document, on a design certificate or in an entry in an official gazette.

11. Provided the presentation of bibliographic data in entries in an official gazette is uniform, INID codes may be applied to the bibliographic data in a representative specimen entry in each issue of the gazette, instead of being included in each entry.

12. The INID codes should be printed in Arabic numerals, preferably within small circles, or, if this is not possible, in parentheses, immediately *before* the corresponding bibliographic data.

13. If bibliographic data to which INID codes are assigned in accordance with this Recommendation do not appear on the first page of a design document, on a design certificate or in an entry in an official gazette—because they are not applicable (e.g., when no priority is claimed) or for some other reason—it is not necessary to call attention to the non-existence of such elements (e.g., by leaving a space or by providing the relevant INID code followed by a dash).

14. Two or more INID codes may be assigned to a single bibliographic data element, when necessary.

15. Category codes ending in "0" can themselves be used in one, or both, of the following situations:

(a) where several individual bibliographic data elements of the same category are present and it is desired to present those individual data elements together without using individual INID codes;

(b) where none of the specific INID codes can be assigned to one of the bibliographic data elements which clearly fall within the category definition.

16. The presentation of calendar dates identified by any of the INID codes concerned should be in the sequence and format as recommended in WIPO Standard <u>ST.2</u>.

17. In order to allow the users of design documents, design certificates and official gazettes to make maximum use of the INID codes, it is recommended that a list of the codes used or the complete Recommendation be published in the gazette, at regular intervals (see WIPO Standard <u>ST.81</u>).

IMPLEMENTATION

18. Industrial property offices can start using the Recommendation at any time. It is recommended that when implementing the INID codes an announcement in the sense of paragraph 17 be made and the International Bureau of WIPO be informed, e.g., by forwarding a sample of the official gazette.

[Appendix 1 follows]



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APPENDIX 1

LIST OF INID CODES

- (10) Data concerning the registration/renewal
 - * (11) Serial number of the registration and/or number of the design document
 - **(12) Plain language designation of the kind of published document
 - * (14) Serial number of the renewal where different from initial registration number
 - * (15) Date of the registration/Date of the renewal
 - (17) Expected duration of the registration/renewal
 - (18) Expected expiration date of the registration/renewal
 - **(19) Identification, using the two-letter code according to WIPO Standard <u>ST.3</u>, of the authority publishing or registering the industrial design

Note: **Minimum data element for design documents only, as defined in subparagraph 4(b).

(20) Data concerning the application

- * (21) Serial number of the application
- * (22) Date of filing of the application
- * (23) Name and place of exhibition, and date on which the industrial design was first exhibited there (exhibition priority data)
- (24) Date from which the industrial design right has effect
- (27) Kind of application or deposit (open/sealed)
- (28) Number of industrial designs included in the application
- (29) Indication of the form in which the industrial design is filed, e.g., as a reproduction of the industrial design or as a specimen thereof
- (30) Data relating to priority under the Paris Convention
 - * (31) Serial number assigned to the priority application
 - * (32) Date of filing of the priority application
 - (33) Two-letter code, according to WIPO Standard <u>ST.3</u>, identifying the authority with which the priority application was made
 - *Notes*: (i) With the proviso that when data coded (31), (32) and (33) are presented together, category code (30) can be used, if so desired.
 - (ii) For international deposits made under the Hague Agreement, the two-letter code "WO" is to be used.
- (40) Date(s) of making information available to the public
 - (43) Date of publication of the industrial design before examination by printing or similar process, or making it available to the public by any other means
 - (44) Date of publication of the industrial design after examination, but before registration, by printing or similar process, or making it available to the public by any other means
 - (45) Date of publication of the registered industrial design by printing or similar process, or making it available to the public by any other means
 - (46) Date of expiration of deferment
- (50) Miscellaneous Information
 - (51) International Classification for Industrial Designs (class and subclass of the Locarno Classification)
 - (52) National classification
 - (53) Identification of the industrial design(s) comprised in a multiple application or registration which is (are) affected by a particular transaction when not all are so affected
 - * (54) Designation of article(s) or product(s) covered by the industrial design or title of the industrial design

^{*} For the meaning of this asterisk, see paragraph 8. of this Recommendation.



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- **(55) Reproduction of the industrial design (e.g., drawing, photograph) and explanations relating to the reproduction
 - (56) List of prior art documents, if separate from descriptive text
 - (57) Description of characteristic features of the industrial design including indication of colors
- (58) Date of recording of any kind of amendment in the Register (e.g., change in ownership, change in name or address, renunciation to an international deposit, termination of protection)
- *Notes*: (i) Code (52) should be preceded by the two-letter code, according to WIPO Standard <u>ST.3</u>, identifying the country whose national classification is used (the two-letter code should be indicated within parentheses).
 - (ii) **Minimum data element for design documents only, as defined in subparagraph 4(b).
- (60) References to other legally related application(s) and registration(s)
 - (62) Serial number(s) and, if available, filing date(s) of application(s), registration(s) or document(s) related by division
 - (66) Serial number(s) of the application, or the registration, of the design(s) which is (are) a variant(s) of the present one
 - (68) Number of the assigned part of the registration
 - (69) Number of the registration resulting from the merger
 - *Note*: Category code (60) should be used by countries which were previously part of another entity for identifying bibliographic data elements relating to applications or registrations of industrial designs, which data had initially been announced by the industrial property office of that entity.
- (70) Identification of parties concerned with the application or registration
 - **(71) Name(s) and address(es) of the applicant(s)
 - (72) Name(s) of the creator(s) if known to be such
 - *(73) Name(s) and address(es) of the owner(s)
 - (74) Name(s) and address(es) of the representative(s)
 - (78) Name(s) and address(es) of the new owner(s) in case of change in ownership
 - *Note:* **If registration has taken place on or before the date of making the industrial design available to the public, the minimum data requirement is met by indicating the owner(s); in other cases, by indicating the applicant(s).
- (80) Identification of certain data related to the international registrations of industrial designs under the Hague Agreement Concerning the International Registration of Industrial Designs and data related to other international conventions

Information regarding designated Contracting Parties/Contracting Parties concerned

- (81) Contracted Parties concerned
 - I Contracting Parties designated under the 1934 Act
 - II Contracting Parties designated under the 1960 Act
 - III Contracting Parties designated under the 1999 Act
- (82) Statements contained in the international application
- (83) Indication as to whether there is a possibility of review or appeal
- (84) Designated Contracting State(s) under regional conventions

Information regarding the owner(s)

- (85) Habitual residence of the owner(s)
- (86) Nationality of the owner(s)
- (87) Domicile of the owner(s)
- (88) State in which the owner(s) has (have) a real and effective industrial or commercial establishment
- (89) "Applicant's Contracting Party"
- *Note*: The data to be referenced by INID codes (81) to (88) should be indicated by using the two-letter code according to WIPO Standard <u>ST.3</u>.

[Appendix 2 follows]



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APPENDIX 2

DELETIONS AND AMENDMENTS TO THE CODE LIST GIVEN IN APPENDIX 1

| INID Code | Previous definition(s) of code | Pertinent previous note(s) | Date of deletion or amendment | Kind of change |
|--------------|--|-------------------------------|---|--|
| (45) | Date of publication of the registered industrial design by printing or similar process, or making it available to the public by any other means/Date of issuing the registration certificate | _ | November 29, 1996, by PCIPI/EXEC/XIX | Code definition amended |
| (80) | Identification of certain data related to the international deposit of industrial designs under the Hague Agreement Concerning the International Deposit of Industrial Designs and data related to other international conventions | - | January 30, 2004, by SCIT/SDWG/4 | Code definition amended |
| (81) | Designated State(s) according to the 1960 Act | - | January 30, 2004, by SCIT/SDWG/4 | Code definition amended |
| (82) | State(s) concerned according to the 1934 Act | _ | January 30, 2004, by SCIT/SDWG/4 | Code definition amended |
| (87) | Residence or headquarters of the owner(s) | _ | January 30, 2004, by SCIT/SDWG/4 | Code definition amended (only English version) |

[End of Appendix 2 and of Standard]