



## HANDBOOK ON INDUSTRIAL PROPERTY INFORMATION AND DOCUMENTATION

Ref.: Standards – ST.19

page: 3.19.1

### STANDARD ST.19

#### RECOMMENDATION FOR THE PUBLICATION OF INDEXES ISSUED YEARLY/HALF-YEARLY/QUARTERLY BY INDUSTRIAL PROPERTY OFFICES

##### INTRODUCTION

1. The indexes issued yearly/half-yearly/quarterly by industrial property offices are of considerable importance to the users who wish to obtain rapid and reliable information on patents for invention, plant patents, inventors' certificates, design patents, utility certificates, utility models as well as on the documents of addition thereto and on the published applications therefor.
2. The purpose of this Standard is to provide guidance to an industrial property office which has not so far issued indexes yearly/half-yearly/quarterly, but which is planning to issue such ones in the future, as well as to an industrial property office which wishes to effect changes on the cumulative indexes issued by it so far, with a view to more efficient usability.
3. The indexes published at present and issued yearly/half-yearly/quarterly by various industrial property offices show significant differences in their components even in the case of identical function, and for this reason they cannot be used with equal efficiency. Lack of standardization of indexes creates difficulties for those users not knowing the language of the index.
4. The main kinds of indexes are the following:
  - (a) document number index,
  - (b) classification index,
  - (c) alphabetic name index.
5. The different kinds of indexes published by the industrial property offices appear either in separate volumes or in a joint volume.

##### REFERENCES

6. References to the following WIPO Standards are present in this Standard:

ST.3	Standard Code for the Representation of the Names of Independent States and other entities which issue Patent Documents and of International Organizations in the field of Industrial Property
ST.9	Recommendation concerning Bibliographic Data on and relating to Patent Documents
ST.11	Recommendation on the minimum of Indexes to be Inserted in Patent Gazettes or Published in Association with Patent Gazettes
ST.16	Standard Code for Identification of Different Kinds of Patent Documents
ST.17	Recommendation for the Coding of Headings of Announcements made in Official Gazettes.

##### DEFINITION

7. For the purpose of this Standard the expression "patent document" comprises patents for invention, plant patents, inventors' certificates, design patents, utility certificates, utility models, documents of addition thereto and published applications therefor.



## HANDBOOK ON INDUSTRIAL PROPERTY INFORMATION AND DOCUMENTATION

Ref.: Standards – ST.19

page: 3.19.2

### RECOMMENDATION

#### *Document Number Index*

8. The document number index contains the publication numbers of the different kinds of patent documents in increasing numerical order. It is recommended that the main classification symbol is given. Other bibliographic data may optionally be given.

#### *Classification Index*

9. The classification index contains the publication numbers of the patent documents arranged according to the patent classification symbols allotted to them. It is recommended that the titles of patent documents as well as the names of applicants, inventors, or patentees be given. Other bibliographic data may be optionally given.

10. Classification indexes should contain references to different kinds of patent documents in a joint list in order to avoid the need for the user to consult too many lists. However, lists may be prepared for different kinds of patent documents if necessary.

11. In the classification index, patent documents with more than one classification symbol are given more than once. In such cases, the title of patent document or other bibliographic data at the place of the second, third, etc. classification symbol can be omitted but at the same time, cross-references should be inserted in the main patent classification symbol where the title and other bibliographic data can be found.

#### *Alphabetic Name Index*

12. The alphabetic name index contains the publication numbers of the patent documents in the alphabetic order of the names of the inventors, applicants or patentees. It is recommended to include the title of the patent document. Other bibliographic data, e.g., patent classification symbols, may optionally be given.

13. If a patent document has more than one inventor, applicant or patentee, the names of all of them should be included in the indexes indicating the publication numbers after each entry. The inclusion of other bibliographic data is recommended only once, e.g., at the first name given. Therefore cross-references are needed.

14. The alphabetic name index should contain the names of the inventors, authors, applicants or patentees in a joint list in order to avoid the need for the user to consult too many lists. However, separate name indexes may be prepared for each kind of name if necessary.

#### *Use of Codes and WIPO Recommendations*

15. The INID codes provided for in WIPO Standard ST.9 should be applied in the indexes to identify the bibliographic data. Since within the indexes the order of the bibliographic data is generally constant, it is possible that in the introduction to the index a representative entry giving the order of the bibliographic data included in the index should be given which includes the INID codes.

16. Use of the two-letter country codes under WIPO Standard ST.3 is recommended to identify the countries and other entities which issue patent documents, even in cases where the names of the countries or other entities are given in natural language.

17. Where also the kinds of patent documents are indicated among the bibliographic data, use of the kind of document codes provided for in WIPO Standard ST.16 is recommended to identify them even when the kinds of patent documents are given in natural language.

18. For the identification of the contents and the composition of the different indexes the use of the codes given in WIPO Standard ST.17—established for the headings of the announcements of the Official Gazettes—is recommended even when the headings are given in natural language.

19. When compiling the indexes, WIPO Standard ST.20 (Recommendations for the Preparation of Name Indexes to Patent Documents) should be taken into consideration.



## HANDBOOK ON INDUSTRIAL PROPERTY INFORMATION AND DOCUMENTATION

Ref.: Standards – ST.19

page: 3.19.3

---

### *Other Indexes*

20. Besides the three main kinds of indexes specified in paragraph 4, it is recommended to issue other indexes e.g., an index giving a concordance between the application number and the publication number.

### *Appendix*

21. The Appendix to this Standard gives information on cumulative indexes published by many industrial property offices.

22. Attention is drawn to WIPO Standard ST.11—Recommendation on the Minimum of Indexes to be Inserted in Patent Gazettes or Published in Association with Patent Gazettes, which gives details of current indexes inserted in patent gazettes or published separately in conjunction with patent gazettes.

[Appendix follows]



# HANDBOOK ON INDUSTRIAL PROPERTY INFORMATION AND DOCUMENTATION

Ref.: Standards – ST.19

page: 3.19.4

## APPENDIX

### SURVEY OF CUMULATIVE INDEXES PUBLISHED SEPARATELY OR IN ASSOCIATION WITH PATENT GAZETTES

(Survey of bibliographic information contained in patent gazettes and in current indexes inserted in patent gazettes or published separately in association with patent gazettes, see in Appendix to ST.11)

Country Code	Title of Index	Periodicity	Data elements used (INID codes*)
AP	Cumulative Index on		
	(a) Patents corresponding to classification alpha-index	yearly	51*, 24, 45
	(b) Name corresponding to patent numbers	yearly	73*, 24, 45
AT	Österreichisches Patentblatt Alphabetisches Verzeichnis der Anmelder, Patentinhaber und Erfinder – II. Teil  (Austrian Patent Gazette Alphabetical Index of Applicants, Grantees and Inventors – Part II)	yearly	71* or 72* or 73*, 51
AU	General Index	yearly	11, 12, 19, 21, 22, 23, 24, 31, 32, 33, 43, 44, 45, 51, 52, 54, 56, 57, 60, 61, 62, 71, 72, 74, 75, 87
	Annual record of patent office proceedings (Volume I) (i) Names of applicants (ii) Particulars of amendments (iii) Names of assignees, licensees, etc.	yearly	10, 11, 12, 21, 54, 71, 72, 74, 75
	Annual record of patent office proceedings (Volume II)	yearly	11, 12, 19, 21, 22, 23, 24, 31, 32, 33, 43, 45, 51, 52, 54, 56, 57, 60, 61, 62, 71, 72, 74, 75, 87
	<ul style="list-style-type: none"> <li>• Applications for which a direction to request examination was given but on which a request for examination was not received on or before end of calendar year</li> <li>• Applications for which a request for examination was received but a first report was not issued on or before end of calendar year</li> <li>• Applications under examination at the end of calendar year</li> <li>• Applications and complete specifications not open to the public inspection—lapsed, refused or withdrawn—for the calendar year</li> <li>• Applications and complete specifications open to public inspection—lapsed, refused or withdrawn (after notification of acceptance)—between</li> </ul>		

\* An asterisk indicates data element(s) by which entries are arranged.



# HANDBOOK ON INDUSTRIAL PROPERTY INFORMATION AND DOCUMENTATION

Ref.: Standards – ST.19

page: 3.19.5

## Appendix, page 2

Country Code	Title of Index	Periodicity	Data elements used (INID codes*)
AU (cont'd)	January 1 and December 31 of the calendar year		
	<ul style="list-style-type: none"> <li>• Applications notified accepted before October 1 and not sealed before December 31 of calendar year (sealing fee not yet paid, processed or subject to opposition proceedings)</li> </ul>		
	<ul style="list-style-type: none"> <li>• Patents in force at December 31 of calendar year</li> <li>• Applications with provisional specifications on which a complete specification was lodged between January 1 and December 31 of the calendar year</li> <li>• Applications and complete specifications notified accepted between January 1 and December 31 of the calendar year</li> <li>• Applications restored between January 1 and December 31 of the calendar year</li> </ul>		
BG	Yearly Index of published inventors' certificates and patents in official gazette "Inventions, Trademarks, Designs:"		
	(a) numerical	yearly	12, 11*, 21, 51
	(b) classification	yearly	51*, 12, 11
	(c) alphaname	yearly	72*, 12, 11, 51
	Index of patents valid at the end of the previous year	yearly	11*, 12, 21, 51*, 72
CA	The Canadian Patent Office Record Index of inventors and patentees	yearly	No INID codes
CH	Yearly catalogue	yearly	
	– Published patent applications (numerical index)		11*, 51
	– National patents in numerical order		11*, 51
	– European patents in force in CH/LI, in numerical order		11*, 51
	– Published patents and patent applications (national and European in force in CH/LI), classified according to IPC		51*, 11, 54
	– Name index		73*, 11, 51
CS	Seznam udělených československých autorských osvědčení a patentů (List of granted Czechoslovak inventors' certificates and patents)	yearly	No INID code, but data elements relevant code
	– Part 1: Numerical list of inventors' certificates and patents		11*, 51
	– Part 2: List of inventors' certificates according to IPC		11, 51*, 54, 72, 73



# HANDBOOK ON INDUSTRIAL PROPERTY INFORMATION AND DOCUMENTATION

Ref.: Standards – ST.19

page: 3.19.6

## Appendix, page 3

Country Code	Title of Index	Periodicity	Data elements used (INID codes*)
	– Part 3: Alphabetical list of inventors or patent owners		11, 72*, 73*
DE	Namensverzeichnis zum Geschmacksmusterblatt (Name index to the industrial design gazette)	half-yearly	73*, 52, 11, 45, 54
	Vierteljährliches Namensverzeichnis zum Patentblatt (Quarterly name index to the patent gazette)	quarterly	71*, 51, 21, 43 or 45
DK	Register over danske patenter (Danish patent index)	yearly	
	– Part 1: Navneregister (Name index)		11, 21, 23, 30, 51, 54, 72*, 73* (cross-reference from 72 to 73)
	– Part 2: Klasseregister (Classification index)		11, 51*, 73
	– Part 3: Nummerlister (Number indexes)		(A) 11*, 73; (B) 11*, 51
EP	Name index	yearly	No INID codes
	– Published applications (Part I)		
	– Granted patents (Part II)		
ES	Index of inventions and creations of form	yearly	
	Patents of invention and introduction and certificates of addition:		
	• Alphabetical order of owners		73*, 21, 13, 51, key
	• Numerical order of grants		21*, 51, 25, 13
	• Technical order of grants		51*, 21, 13, key
	• Alphabetical order of keywords of grants		Keywords, 21, 51 (subclass level)
	Utility models:		
	• Alphabetical order of owners		73*, 21, 13, 51, key
	• Numerical order of grants		21*, 51, 46, 47
	• Technical order of grants		51*, 21, 13, key
	• Alphabetical order of keywords of grants		Keywords, 21, 51 (subclass level)
FI	Patenttilehti Nro. 13 (Patent Gazette No. 13)	yearly	
	• The index of patents granted previous year in Finland		11, 21, 31, 32, 33, 44, 51*, 54, 71, 72, 73
	• The index of patents in force at the end of previous year in Finland		11*
	• The name index of assignees of patents and inventors		11, 51, 72*, 73*



# HANDBOOK ON INDUSTRIAL PROPERTY INFORMATION AND DOCUMENTATION

Ref.: Standards – ST.19

page: 3.19.7

## Appendix, page 4

Country Code	Title of Index	Periodicity	Data elements used (INID codes*)
FR	Index of patent applications, applications for utility certificates and for certificates of addition published in France. Table of applicants in alphabetical order	yearly	71*, 54, 72, 11, 13, 22, 21, 51
	Index of patent applications, applications for utility certificates and for certificates of addition published in France. Table in subject order	yearly	51*, 11, 13, 54, 72, 71
	Concordance table of national registration numbers and publication numbers. (BOPI – patents of invention from No. 1 to No. 52 of the corresponding year)	yearly	21*, 11
	Index of second publications. ( BOPI – patents of invention from No. 1 to No. 52 of the corresponding year)	yearly	21*, 11 (RM: modified claims, if any), 45
	Index of published second projects of documentary reports and of search reports. (BOPI No. 1 to No. 52 of the corresponding year)	yearly	21*, 11 (indication of the publication date of the documentary notice or of the search report)
GB	Names of applicants	yearly	71*, 52, 54
HU	Name and subject index	yearly	<p>11* - granted patents in the current year</p> <p>11* - printed patent specifications published in the current year</p> <p>11*, 11, 21</p> <p>21*, 11, 11</p> <p>33*, 31, 32, 21</p> <p>32*, 33, 31, 21</p> <p>71*, 72*, 73*, in alphabetical order</p> <p>51*, 54, 11, 21, pg. nr. in off. gazette</p> <p>51*, 54, 11, pg. number in off. gazette</p> <p>Notes: (11 – Serial numbers of the published patent applications; 11 – Registration numbers of granted patents)</p>
IE	Patents and designs indexes	yearly	11, 21, 71
JP	Kôhyô Kôhō Bangô jun sakuin (Document number index on published unexamined application based on international application)	quarterly	43*, 11, 51, 21, 54, 71
NO	Register over norske patenter (Norwegian patent index)	yearly cumulated index, only accepted patents	
	Part I: Navneregister (Name index)		11, 21, 51, 73*
	Part II: Saksregister (Class index)		11, 24, 51*, 54



# HANDBOOK ON INDUSTRIAL PROPERTY INFORMATION AND DOCUMENTATION

Ref.: Standards – ST.19

page: 3.19.8

## Appendix, page 5

Country Code	Title of Index	Periodicity	Data elements used (INID codes*)
PL	Roczny wykaz patentow na wynalazki udzielonych w Polsce (Yearly index of patents granted in Poland)	yearly	
	• Wykaz patentow sporzadzonych wg numerow (Index of patents according to serial numbers)		11*, 51 + 47
	• Wykaz patentow na wynalazki sporzadzony wg symboli MKP (Index of patents according to IPC symbols)		51*, 11 + 47
	• Wykaz patentow na wynalazki na ktore uprzednio udzielono patentu tymczasowego, sporzadzony wg numerow (Index of patents for which provisional patents have been granted previously, according to numbers)		11*, 51 + 47
	• Wykaz patentow na wynalazki sporzadzony wg nazwisk lub nazw uprawnionych z patentu (Index of patents according to names of patentees)		73*, kind of document codes (B1, B2, B3, B4) 11, 51 + 47
	• Wykaz patentow na wynalazki sporzadzony wg nazwisk tworcow/wspoltworcow/wynalazkow (Index of patents according to names of inventors/co-inventors)		72*, kind of document codes (B1, B2, B3, B4) 11, 51 + 47
	Roczny wykaz wzorow uzytkowych na ktore Urzad Patentowy RP udzielił prawa ochronnego (Yearly index of utility models which have been granted legal protection in the Polish Patent Office)	yearly	
	• Wykaz wzorow uzytkowych sporzadzony wg numerow (Index of utility models according to numbers)		11*, 51 + 47
	• Wykaz wzorow uzytkowych sporzadzony wg symboli MKP (Index of utility models according to IPC symbols)		51*, 11 + 47
	• Wykaz wzorow uzytkowych sporzadzony wg nazwisk lub nazw uprawnionych z prawa ochronnego (Index of utility models according to names of patentees)		73*, kind of document codes (Y1, Y2) 11, 51 + 47
	• Wykaz wzorow uzytkowych sporzadzony wg nazwisk tworcow/wspoltworcow/ (Index of utility models according to names of inventors/co-inventors)		72*, kind of document codes (Y1, Y2) 11, 51 + 47
RU	Yearly Numerical Index of patents granted in Russia	yearly	11*, 46, 51 + reference to the relevant issue of the Russian Official Gazette
	Yearly Classification Index of patents granted in Russia	yearly	51*, 11, 46
	Yearly Classification Index of application	yearly	51*, 21, 43
	Yearly Name Index of inventors and patentees	yearly	71*, 72*, 73*, 11, 46, 51





## HANDBOOK ON INDUSTRIAL PROPERTY INFORMATION AND DOCUMENTATION

Ref.: Standards – ST.19

page: 3.19.9

### Appendix, page 6

Country Code	Title of Index	Periodicity	Data elements used (INID codes*)
SE	Svensk Patenttidnings kumulerade register (Cumulated Index of Names in the Swedish Patent Gazette)	every 4 weeks	21, 51, 71*, 72* + reference to the relevant issue of the SE Official Gazette <i>Note:</i> (71) and (72) are mixed in the same Index
US	Patentee/Assignee Index (Ten year cumulative file published in microfiche)  Index of patents  I – List of patentees  II – Index to subjects of inventions	yearly with quarterly updates  yearly	11, 54, 72, 73   11, 54, 72, 73*  11, 52*
WO	<i>PCT Gazette</i> – Index  • International application numbers and corresponding international publications numbers  • Names of applicants and corresponding international publications numbers  • International publications numbers grouped according to international patent classification symbols	yearly	11, 21*  11, 71*  11, 51*

[End of Standard]